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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/573,366 Alfred Schutz 037051.57538US

INTERNATIONAL APPLICATION NO.

PCT/EP04/08378

Crowell & Moring LLP Intellectual Property Group P. O. Box 14300 Washington, DC 20044-4300 I.A. FILING DATE PRIORITY DATE 07/27/2004 09/24/2003

CONFIRMATION NO. 2129
371 FORMALITIES LETTER

OC000000020815599

Date Mailed: 10/13/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/24/2006
- English Translation of the IA filed on 03/24/2006
- Copy of the International Search Report filed on 03/24/2006
- Preliminary Amendments filed on 03/24/2006
- Information Disclosure Statements filed on 03/24/2006
- U.S. Basic National Fees filed on 03/24/2006
- Substitute Specification filed on 03/24/2006
- Priority Documents filed on 03/24/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

∀'The time period set above may be extended by filing a petition and fee for extension of time under the provisions D'of 87 CFR 1.136(a).

Due Date

₩AMPlicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/573,366 | PCT/EP04/08378 | 037051.57538US |

FORM PCT/DO/EO/905 (371 Formalities Notice)

IAP13 Rec'd PCT/PTO 12 DEC 2006

10/573,366

March 24, 2006

Date

December 8, 2006

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Filing Date

TRANSMITTAL

FORM

Typed or printed name

Blanca E. Munoz

Application Number

First Named Inventor Alfred SCHUETZ Art Unit Not Yet Assigned **Examiner Name** (to be used for all correspondence after initial filing) Not Yet Assigned Attorney Docket Number 037051.57538US Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form (in duplicate) Drawing(s) After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Petition Amendment / Reply Appeal Communication to Group After Final Petition to Convert to a (Appeal Notice, Brief, Reply Brief) Provisional Application Affidavits/declaration(s) Proprietary Information Power of Attorney, Revocation Extension of Time Request Change of Correspondence Status Letter **Express Abandonment Request** Address Other Enclosure(s) (please identify Terminal Disclaimer below): Information Disclosure Statement 1. Return Postcard Request for Refund Certified Copy of Priority CD, Number of CD(s) Documents Landscape Table on CD Response to Missing Requirements Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **CROWELL & MORING LLP** Signature Printed Name ROBERT L. GRABAREK, JR. Date Reg. No. December 8, 2006 40,625 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|---|------------------|---|-----------------------------|-------------|---------------------|----------------|---------------------------------|--|
| Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2006 | | | Complete if Known | | | | | |
| | | Application Nur | Application Number 10/573,3 | | 66 | | | |
| | | Filing Date | Filing Date March 24, 2006 | | | | | |
| | | First Named In | First Named Inventor | | HUETZ | <u> </u> | | |
| Applicant claims small | l entity status | See 37 CFR 1 27 | Examiner Nam | е | Not Yet A | ssigne | d | |
| | | | - Art Unit | | Not Yet A | ssigne | d | |
| TOTAL AMOUNT OF PAYMENT (\$) 00.00 | | | Attorney Docke | t No. | 037051.57538US | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | |
| Check Credit Card Money Order None Other (please identify): | | | | | | | | |
| Deposit Account Deposit Account Number: 05-1323 Deposit Account Name: Crowell & Moring LLP | | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | | | | | | | | |
| Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee | | | | | | | | |
| Charge any additional for(s) as understands of for(s) | | | | | | | | |
| Under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card | | | | | | | | |
| information and authorization | on PTO-2038 | | | iot be iiic | | s 101111. F | Tovide credit card | |
| FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.) | | | | | | | | |
| 1. BASIC FILING, SEA | • | | 3 | | | | | |
| | FILING | FEES SEA | ARCH FEES Small Entity | EXA | VINATION Small I | | | |
| Application Type | Fee (\$) | Fee (\$) Fee | | Fee | | | Fees Paid (\$) | |
| Utility | 300 | 150 500 | 250 | 200 | 0 100 |) | | |
| Design | 200 | 100 | 50 | 130 | 0 65 | 5 | | |
| Plant | 200 | 100 300 | 150 | 160 | 0 80 |) | | |
| Reissue | 300 | 150 500 | 250 | 600 | 0 300 |) | | |
| Provisional | 200 | 100 | 0 | (| 0 (|) | | |
| EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) | | | | | | e (\$) 50 | Small Entity Fee (\$) 25 | |
| Each independent claim over 3 (including Reissues) | | | | | 200 | 100 | | |
| Multiple dependent of | laims | | | | 3 | 360 | 180 | |
| Total Claims | Extra Clair | | ee Paid (\$) | | | | ependent Claims | |
| - 20 or HP = HP = highest number of total | I claims paid fo | × <u>50.00</u> = _ or, if greater than 20. | | | <u>F</u> | ee (\$) | Fee Paid (\$) | |
| Indep. Claims | Extra Clain | | ee Paid (\$) | | | •••• | | |
| 3 or HP = x200.00 = HP = highest number of independent claims paid for, if greater than 3. | | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | | |
| listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | | | |
| 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) | | | | | | Fees Paid (\$) | | |
| Other (e.g., late filing surcharge): | | | | | | | | |
| SUBMITTED BY A A A A A A | | | | | | | | |
| | | | | Telepho | one 949-263-8400 | | | |
| Name (Print/Type) Reheat I | Croborok is | ···· | 1 1 montofingent | | | | ecember 8, 2006 | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application. No.: 10/573,366

: Alfred SCHUETZ

Filed : March 24, 2006 TC/A.U. : Not Yet Assigned Examiner : Not Yet Assigned

Docket No. : 037051.57538US

Customer No. : 23911

Mail Stop PCT

Applicant

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF MISSING REQUIREMENTS IN APPLICATION

Sir:

Attached hereto please find:

- 1. Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US).
- 2. Executed Declaration and Power of Attorney.

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket No. 037051.57538US). A duplicate copy of this letter is attached.

Respectfully submitted,

CROWELL & MORING LLP

Dated: 12 8 06

Robert L. Grabarek, Jr.

Reg. No. 40,625

Tel.: (949) 263-8400 (Pacific Coast)

Attachments Intellectual Property Group P.O. Box 14300

Washington, D.C. 20044-4300

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)

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Blanca E. Munoz

Date

Confirmation No. 2129